

Searching the sea with sound

An international symposium on sonar systems held in Birmingham last week the future of non-military sonar (asdic) was discussed. Improvements in technique could make possible the observation of individual small fish, while the study of the sea-bed will be assisted by better directional devices

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SONAR (Sound Navigation and Ranging) has been coming into the open recently after many decades of hiding behind a screen of security restrictions. Last year an important Nato "advanced study institute" on underwater acoustics was held in London, and although only a few selected experts were invited to take part, the institute's work will shortly become generally available through the publication of its proceedings (Plenum Press, New York). This year another important step has been taken: the holding of a fully-open Symposium on Sonar Systems—thought to be the first ever of this scale and scope—last week at the University of Birmingham under the joint sponsorship of the British Institution of Radio Engineers (Electronics and Radar Groups), the Institute of Physics and Physical Society (Acoustics Group), and the Electrical Engineering Department of the University of Birmingham, which has a large sonar research programme. Most of the papers were concerned with fundamental problems of sonar, which apply equally to its use in military operations (such as the detection of submarines) and in non-military uses, such as in oceanographic research and operations, hydrographic surveying, and geophysical and oceanographic research. It has, indeed, become quite clear in recent years that non-military applications of sonar, which also include navigation, are growing rapidly in importance, and it is largely their needs that call for the open exchange and publication of scientific and technical information.

Sonar—or "asdic" as it has traditionally been called in Britain—is concerned with gathering information about the presence, position and nature of objects by means of sound waves. It most frequently takes the form of an echo-location system used in water. The typical simple sonar system, like a radar system, uses the transmission and reflection of a pulse of energy as its basis: it comprises the main components shown in Figure 1. Individual systems show many variations on this theme.

The transducers for converting the electrical signal into an acoustic one, and vice versa, may be regarded as a sort of loudspeaker and microphone respectively, although they generally rely on either the

magnetostrictive or piezo-electric effects for their operation.

Although cathode-ray displays are being increasingly used, the commonest type of display in simple systems is still the chemical recorder, in which a moving roll of impregnated paper is scanned by an electric stylus. Typical recorder traces are shown in Figure 2. If there is a definite reflecting object—or "target"—in the sound beam, then the echo-pulses it produces give consistent marks on the trace at each traverse and form a line down the paper. If the range of the target from the transducers does not vary, then this line is parallel to the direction of motion of the paper. If the range changes because of the motion of the target or of the ship on which the equipment is fitted, then the line is sloped relative to the paper motion.

General problems.—The papers at the symposium were of two main types: one concerned primarily with the equipment and the other with the medium (the sea) and the target. Among matters concerning equipment were the general problems of how arrays of transducers could be used to produce more narrowly directional sonar beams, how beams could be made narrower without increasing the size of the array, the properties of three-dimensional arrays, the general problems of signal processing and of display (that is to say, the "information theory" of sonar), and practical arrangements to meet specific needs.

Concerning the medium, propagation is the most perplexing subject; for however good the equipment may be, its performance as a sonar will always be limited by the perversities of acoustic propagation in the sea. Refraction due to thermal gradients, varying salinity, etc., absorption of energy by conversion into heat, scattering due to inhomogeneities and to rough boundaries (sea-surface and sea-bottom—and one paper dealt with the effects of rough ice), all make sonar performance hard to predict and unreliable. Other topics concerning the medium included the difficulties of obtaining constant echoes from complex targets when the transducers are on a moving ship, and the directional properties of the ambient noise background in the sea.

Non-military applications.—The most interesting part of the symposium for the non-specialist was probably the final informal discussion on the future of non-military sonar. An account of the main points which emerged is given below. This necessarily to a large extent corresponds with the research programme in sonar of the Electrical Engineering Department at the University of Birmingham, since this group is concerned entirely with non-military applications, and is probably the largest such group in Europe. But other British groups, such as those at the

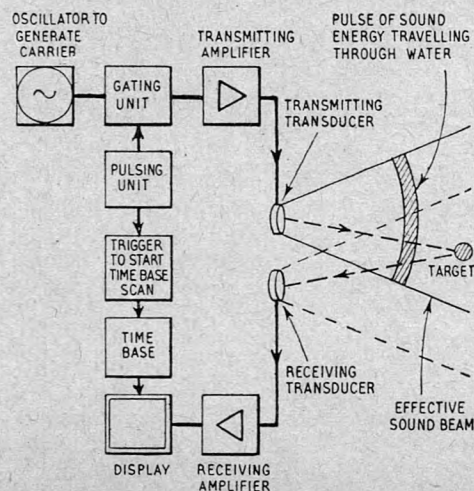


FIGURE 1. Schematic arrangement of a typical pulsed sonar system.

stitute of Oceanography and Fisheries Laboratories at Lowestoft have been contributing to the project. It is hoped that the project will provide help in its formulation. In many other interesting and important proposals were made, which, though still very speculative. One of the most interesting was the use of air-sonar for the overcraft. There was also some discussion of the medical applications of the air-sonar.

Research and fishing operations. In any work it is necessary (among other things) to know the whereabouts and numbers, size, and in these respects sonar is particularly useful, and in these respects sonar has the aspect of being able to provide information. Hitherto single-beam sonar has been used, and when used vertically "echo-sounder" gives valuable information about the fish beneath the vessel. Unfortunately the ship usually has an irregular motion, which causes the beam from the sea-bottom to form a fan shape as the ship progresses along its course (as can be seen in Figure 2), and it is difficult to detect fish which are near the bottom. Means have been developed, however, for referring the echo to the sea-bottom, so that fish appear at their proper height approximately flat bottom trace.

Such displays of such bottom profiles are very valuable, too, and it is possible to count the fish passing through the beam within a given height above the bottom when the beam is fairly narrow. The single-beam sonar is used nearly horizontally over a large volume of sea can be used. The single-beam sonar falls very far short of ideal performance. As it is necessary to wait in every position of the beam or two transmitted pulses to return, that with a velocity of sound in water only about 1 mile/sec it takes a considerable time to search a large area with a narrow beam. Thus much information is lost. A promising development is the "within-pulse electronic scanning" sonar, which enables a narrow beam to be swung over a wide area (transmitted pulse) so fast that the beam virtually looks in all directions in a single pulse. A suitable cathode-ray tube enables information from the beam to be presented on a single cathode-ray tube—see Figure 3, which shows a typical display of fish shoals as obtained with an experimental sonar using relative resolution. Such equipment has been used in fish research, and its potentialities.

A resolution version of this system, with an angular resolution over a 30° sector of a few hundred feet radius, with

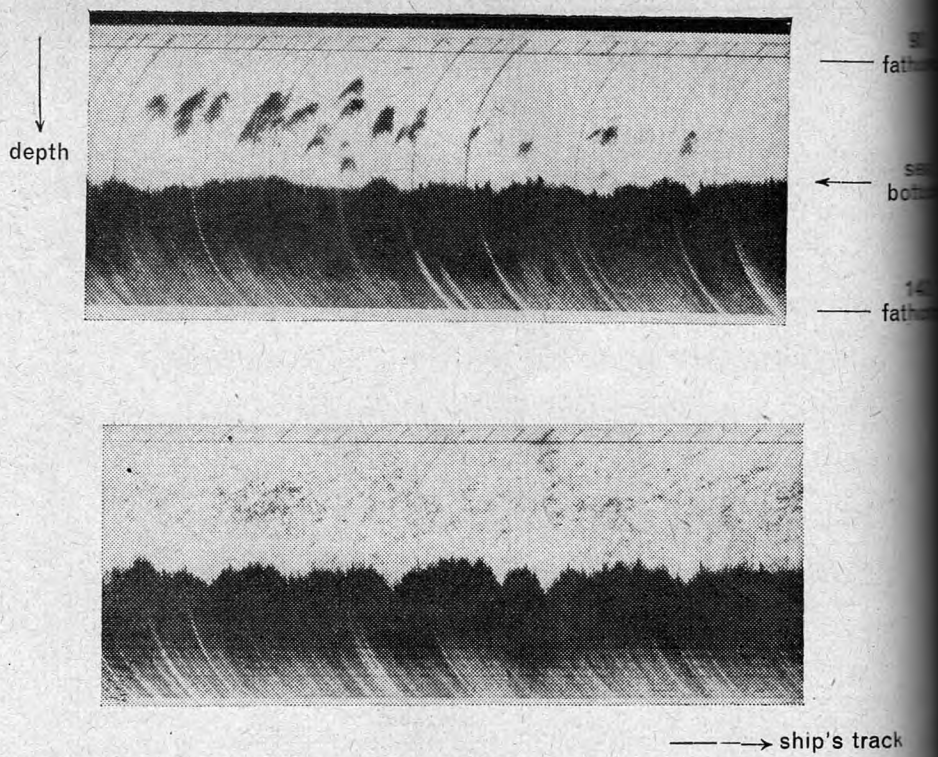


FIGURE 2. Typical echo-sounder traces on chemical recorder paper, showing bottom profiles, with small shoals of cod in mid-water. In the upper picture the shoals are agglomerated; in the lower they have become diffused. (Courtesy, Journal of the British Institution of Radio Engineers.)

6-inch resolution in range, was demonstrated at the symposium. It is hoped that such an equipment will enable the movements of individual small fish to be studied. The maximum range at present is limited by the use of a relatively high frequency (500 kc/s) which enables the transducers to be of manageably small size, but suffers a high absorption loss in the water. It seems desirable that the principle be

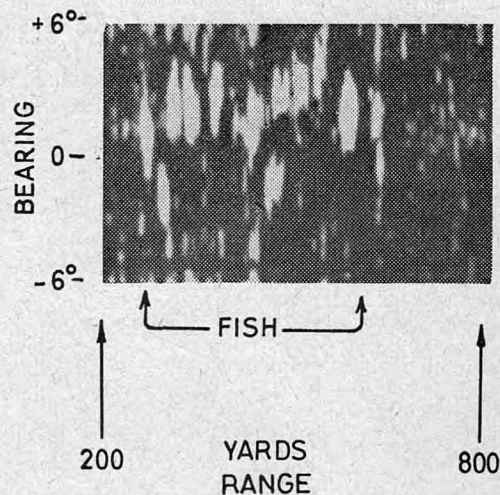


FIGURE 3. Typical display of fish shoals obtained with an electronic sector-scanning sonar with 1.5° resolution. (Courtesy, Journal of British Institution of Radio Engineers.)

extended to lower frequency systems in the ranges of the order of a mile or so. It is hoped that with higher angular resolution, it may be possible to determine whether such high resolution can be maintained at such ranges in shallow, inhomogeneous and turbulent water has yet to be investigated.

Present electronic scanning systems use a fan beam which has a relatively small vertical angle (say 12°) and scans horizontally. But clearly fisheries research calls for the scanning of pencil beams in two planes. Suitable and economical arrangements were discussed in a symposium paper, but whether it is possible to scan fast enough to cover a large sector without loss of information has not yet been determined.

Another matter in which sonar may be able to help is the identification of fish. Hitherto fisheries experts have had to identify fish from the depth of echoes from shoals. Apart from the possibilities which may be developed in making the actual delineation of the larger fish, there are possibilities also in the use of a wide frequency band for the sonar so that the frequency-response of the fish as a sonar target could be displayed. Even with a flat sea, the frequency-response of the fish under reasonable conditions to a few fathoms is a function of several factors under development for this purpose. The big limitation has not yet been determined whether a wide beamwidth would identify the larger fish, but it

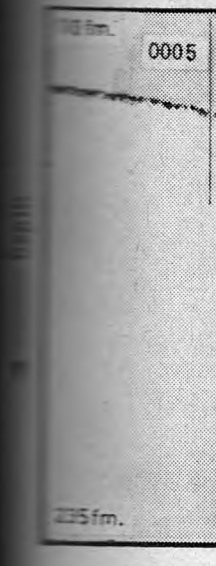


FIGURE 4. Sector-scanning sonar display.

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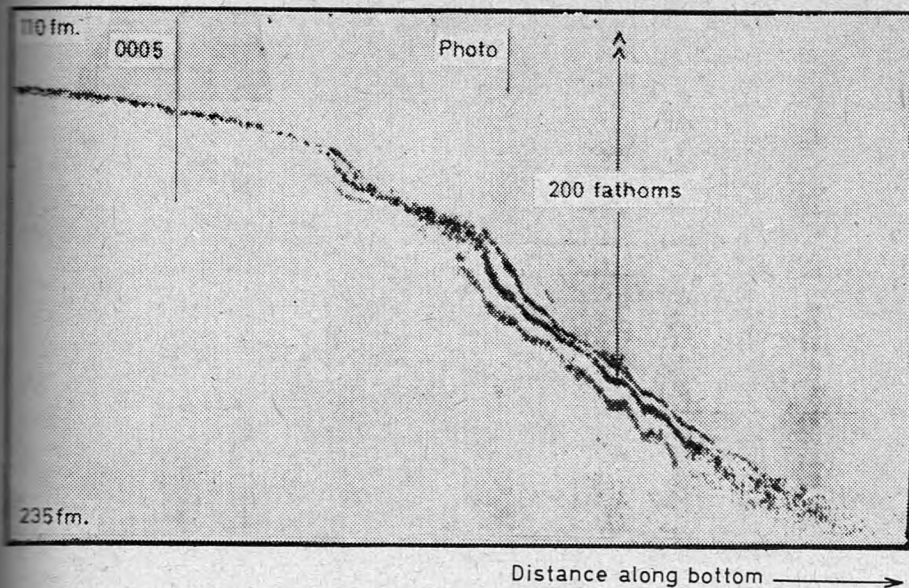


FIGURE 4. Sector-scanned record of 45° slope on sea bottom. (Courtesy, Institute of Navigation.)

... does seem promising for small fish, such as those comprising the deep scattering layer. This is a relatively dense mass of small organisms, occurring widely in the oceans, of great potential importance as foodstuff, whose existence has long been known from echo-sounding records, but whose constitution and distribution is only now being discovered. These small fish so appear to have resonant frequencies (due mainly to the swim-bladder) in the usual sonar frequency range. In addition to the development of equipment, much more theoretical and experimental research is needed on the echo response of various species and shape of fish (as well as other animals).

At the point was repeatedly made in several discussions during the symposium that any sonar which was intended for use by fishermen in commercial operations (as a result from research) must be cheap. This requirement emphasized a proposal that large frequency-modulation sonar with a non-visual presentation of information might be successful.

Hydrographic and oceanographic surveys.—Sonars, in the form of echo-sounders, have been used for a long time in obtaining depth soundings for navigation charts, and much work has been done in making the equipment basically portable and in determining the velocity of a surface of the sea so that time of travel can be accurately converted to depth. Under reasonably good conditions and with a flat sea-bottom, soundings to a few fathoms are now possible at depths of several thousands of fathoms. The big limitation of existing systems is the wide beamwidth used—commonly over 10°. On a sloping or irregular bottom there

is thus always an uncertainty as to the point from which the first echo returns are received, and thus accurate surveying is impossible. Very narrow single beams have disadvantages, too, and it seems that a great advance may be possible by using electronic sector-scanning sonar in this depth-sounding role. A profile of the bottom can be obtained in this way not only with many fewer traverses by the ship, and with much greater accuracy, but also in worse weather conditions. Figure 4 shows a steep slope on the edges of the continental shelf recorded with a single pulse by a very crude experimental system.

Even simpler devices than a scanning sonar can be used to improve surveying. Figure 5 shows a record obtained using a multi-beam non-scanning system. In this case three narrow beams of about 1.7° width (at the half-power points), with 6° spacing, were formed by a two-transducer system. On a slope, different ranges are measured by the three beams, so that three separated traces are obtained; the spacing measures the slope. In the case illustrated, the beams were arranged in the fore-and-aft plane, so that the slope indicated agrees with that determined from the profile of the trace as the ship moves along.

It has already been shown that sonar can be used to give valuable information on the geological nature of the sea-bottom due to the different scattering properties of different kinds of rock and sediment (see A. H. Stride's article in the *New Scientist* of 11 May, 1961). It is probable this kind of use can be refined; possibly wide signal-frequency bands will improve the quality of the information obtained. The use of high-power sound sources (such as explosions, sparks, mechanical impact) is al-

ready permitting penetration of the upper layers of the sea-bottom as in geophysical (seismic) methods. Evidently considerable development in this direction may be expected.

It is possible that methods will be found of using sonar systems to measure ocean currents at various depths by exploiting the Doppler shift of frequency in the sound back-scattered by the inhomogeneities in the water.

Special problems arise in the surveying of inland waters and particularly waterways, where wide but shallow channels are concerned. Attempts to solve these problems (as represented in a symposium paper, for example) have so far relied on a multiple assembly of individual sonar systems; but new and more economical methods are perhaps possible.

There are many other possibilities (in civil engineering, for example) which space does not permit to be discussed here. One can sum up by saying that sonar has a good future in the non-military field, but that intensified research and development will be necessary if its full potentiality is to be realized.

Of the 29 papers presented at the meeting, 26 were preprinted in two bound volumes; some extra copies are available for purchase from the Brit.I.R.E., 9 Bedford Square, W.C.1. Price 52s. 6d.



FIGURE 5. Record obtained with 3-beam echo-sounder. (Courtesy, Institute of Navigation.)